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PTO/SB/97 (08-00)

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Application Number: 09/875,775

Filing Date: June 5, 2001

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1. Certificate of Transmission
2. Fee Transmittal
3. Response to Notice of Non-Compliant Amendment Dated April 8,  
2005 (16 pages)

Total pages: 18

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PTO/SB/17 (12-04)

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# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 0.00

### Complete If Known

Application Number	09/875,775
Filing Date	6/5/2001
First Named Inventor	Ashvinkumar J. Sanghvi
Examiner Name	MOHAMMAD A SIDDIQI
Art Unit	2154
Attorney Docket No.	MS1 - 591US

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_  
☒ Deposit Account Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

**Total Claims** - 20 or HP = \_\_\_\_\_ x **Fee (\$)** 50 = **Fee Paid (\$)** \_\_\_\_\_  
 HP = highest number of total claims paid for, if greater than 20  
**Indep. Claims** - 3 or HP = \_\_\_\_\_ x **Fee (\$)** 200 = **Fee Paid (\$)** \_\_\_\_\_  
 HP = highest number of independent claims paid for, if greater than 3

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets** - 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x **Fee (\$)** = **Fee Paid (\$)**

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: \_\_\_\_\_

### SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	36,756	Telephone	(206) 315-4001
Name (Print/Type)	Frank J. Bozzo	Date			

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APR 29 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Serial No. ....09/875,775  
Filing Date ..... June 5, 2001  
Inventor.....Sanghvi et al.  
Group Art Unit .....2154  
Examiner .....Siddiqi, Mohammad A  
Attorney's Docket No. .... MS1-0591US  
Title: Event Consumers for an Event Management System

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**  
**DATED APRIL 8, 2005**

To:

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

This paper is submitted in response to the Notice of Non-Compliant Amendment mailed April 8, 2005. Applicants apologize for the mistakes in the previously filed amendment, and respectfully submit that the amendment complies with the rules of the U.S. Patent & Trademark Office. Applicants respectfully request the Examiner consider the compliant amendment and response.

**Amendments to the Claims** are reflected in the corrected listing of claims which begins on page 2 of this paper.

**Remarks Regarding Notice Of Non-Compliance** begin on page 12 of this paper.

**Remarks/Arguments** included with the amendment filed January 25, 2005, are included for the Examiner's convenience, and begin on page 7 of this paper.